



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Perquia Incorporated

Serial No.: 09/938,950

Group Art Unit: 2161

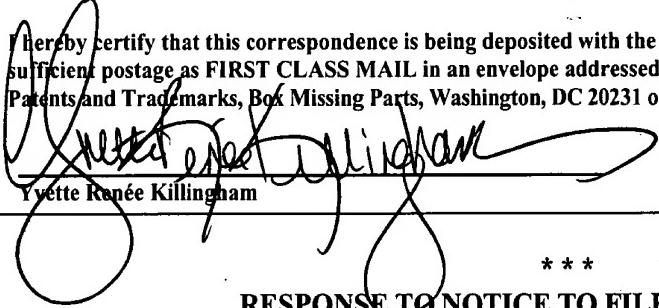
Filed: August 23, 2001

Examiner: Not Yet Assigned

Title: CONSUMER AWARD AND INCENTIVE SYSTEM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Assistant Commissioner for Patents and Trademarks, Box Missing Parts, Washington, DC 20231 on December 10, 2001.


Yvette Renée Killingham

* * *

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents and Trademarks
Box Missing Parts
Washington, DC 20231

Sir:

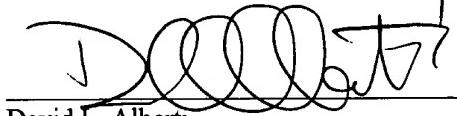
In response to the Notice to File Missing Parts of Nonprovisional Application and the Filing Receipt, both of which are dated September 28, 2001, transmitted herewith are the following documents:

1. Response to Notice to File Missing Parts; (2 pgs.);
2. Fee Transmittal for FY 2002 (1 pg.);
3. Request to Correct Filing Receipt (2 pgs.);
4. Copy of Filing Receipt dated September 28, 2001 (2 pgs.);
5. Petition for Extension of Time (2 pgs.);
6. Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.);
7. Declaration and Power of Attorney (4 pgs.);
8. Check #495316 in the amount of \$120.00;
9. Return postcard.

The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of fees related to this filing to Deposit Account No.: 07-1896 under 37 CFR 1.16 and 37 CFR 1.17.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP



David L. Alberti
Reg. No. 43,465
Attorney for Assignee

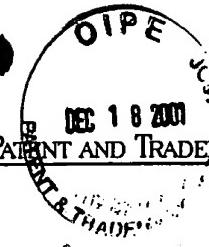
Date: 12/12/2001

GRAY CARY WARE & FREIDENRICH LLP
Patent Department
1755 Embarcadero Road
Palo Alto, CA 94303-3340
Telephone: (650) 320-7400

Gray Cary\EM\7095694.1
2102885-991101



UNITED STATES PATENT AND TRADEMARK OFFICE



H3
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,950	08/23/2001	Eiland Glover	2102885-991100

CONFIRMATION NO. 2653

FORMALITIES LETTER



OC000000006811844

GRAY CARY WARE & FREIDENRICH
Attn: Eric N. Hoover, Patent Department
1755 Embarcadero Road
Palo Alto, CA 94303-3340

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2001 BARBARA L 00000034 09938950

01-75-200

65.00 0P



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Perquia Incorporated

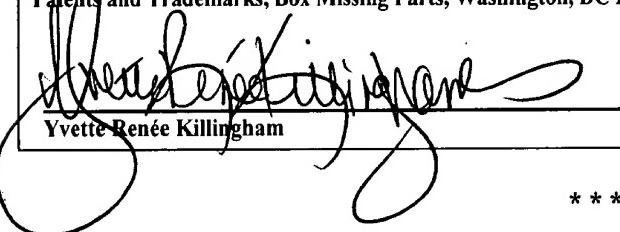
Serial No.: 09/938,950 Group Art Unit: 2161

Filed: August 23, 2001 Examiner: Not Yet Assigned

Title: CONSUMER AWARD AND INCENTIVE SYSTEM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Assistant Commissioner for Patents and Trademarks, Box Missing Parts, Washington, DC 20231 on December 12, 2001.


Yvette Renée Killingham

* * *

REQUEST TO CORRECT FILING RECEIPT

Assistant Commissioner for Patents and Trademarks
Box Missing Parts
Washington, DC 20231

Sir:

Attorney for Applicant hereby requests a correction of an element of the Filing Receipt in the above-referenced U.S. Utility Patent Application dated September 28, 2001, a copy of which is

attached hereto. The Filing Receipt fails to include the names of both Inventors, which should read as follows:

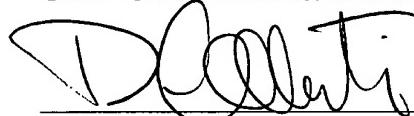
"Applicant(s)"

**Eiland Glover
Stuart R. Hogue"**

Attorney for Applicant respectfully requests that this error be corrected and that a Corrected Filing Receipt by issued. Copies of the erroneous Filing Receipt and the first page of the Utility Patent Application Transmittal Cover Sheet are attached and the changes to same are marked in red and attached hereto for reference.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP



David L. Alberti
Reg. No. 43,465
Attorney for Applicant

Date: December 12, 2001

GRAY CARY WARE & FREIDENRICH LLP
Patent Department
1755 Embarcadero Road
Palo Alto, CA 94303-3340
Telephone: (650) 320-7400

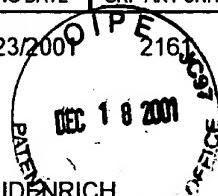


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/938,950	08/23/2001	2161	355	2102885-991100	8	10	2
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GRAY CARY WARE & FREIDENRICH
Attn: Eric N. Hoover, Patent Department
1755 Embarcadero Road
Palo Alto, CA 94303-3340

CONFIRMATION NO. 2653

FILING RECEIPT



OC00000006811843

Date Mailed: 09/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eiland Glover, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/227,011 08/23/2000

Foreign Applications

PCT/US00/19730 07/20/2000

If Required, Foreign Filing License Granted 09/25/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

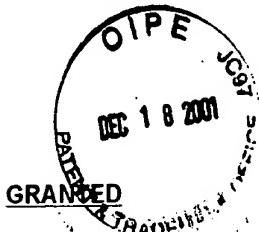
Early Publication Request: No

**** SMALL ENTITY ****

Title

Customer award and incentive system

Preliminary Class



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

FEE TRANSMITTAL for FY 2002		<i>DEC 18 2001 U.S. PATENT & TRADEMARK OFFICE</i>																													
Patent fees are subject to annual revision.																															
TOTAL AMOUNT OF PAYMENT		(\$120.00)																													
<table border="1"> <thead> <tr> <th colspan="4">Complete if Known</th> </tr> </thead> <tbody> <tr> <td colspan="2">Application Number</td> <td colspan="2">09/938,950</td> </tr> <tr> <td colspan="2">Filing Date</td> <td colspan="2">August 23, 2001</td> </tr> <tr> <td colspan="2">First Named Inventor</td> <td colspan="2">Glover, Eiland, et al.</td> </tr> <tr> <td colspan="2">Examiner Name</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">Group Art Unit</td> <td colspan="2">2161</td> </tr> <tr> <td colspan="2">Attorney Docket No.</td> <td colspan="2">2102885.991101</td> </tr> </tbody> </table>				Complete if Known				Application Number		09/938,950		Filing Date		August 23, 2001		First Named Inventor		Glover, Eiland, et al.		Examiner Name				Group Art Unit		2161		Attorney Docket No.		2102885.991101	
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METHOD OF PAYMENT		FEES CALCULATION (continued)																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <table border="1"> <tr> <td>Deposit Account Number</td> <td>07-1896</td> </tr> <tr> <td>Deposit Account Name</td> <td>Gray Cary Ware & Freidenrich LLP</td> </tr> </table> <p><input checked="" type="checkbox"/> Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		Deposit Account Number	07-1896	Deposit Account Name	Gray Cary Ware & Freidenrich LLP	<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee (\$)</th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65 Surcharge - late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25 Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130 Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520 For filing a request for ex parte reexamination</td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920* Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840* Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55 Extension for reply within first month</td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200 Extension for reply within second month</td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460 Extension for reply within third month</td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720 Extension for reply within fourth month</td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980 Extension for reply within fifth month</td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160 Notice of Appeal</td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160 Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140 Request for oral hearing</td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510 Petition to institute a public use proceeding</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>.55 Petition to revive – unavoidable</td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241</td> <td>640 Petition to revive – unintentional</td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242</td> <td>640 Utility issue fee (or reissue)</td> </tr> <tr> <td>143</td> <td>460</td> <td>243</td> <td>230 Design issue fee</td> </tr> <tr> <td>144</td> <td>620</td> <td>244</td> <td>310 Plant issue fee</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130 Petitions to the Commissioner</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50 Processing fee under 37 CFR 1.17(q)</td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180 Submission of Information Disclosure Stmt</td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40 Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>146</td> <td>740</td> <td>246</td> <td>370 Filing a submission after final rejection (37 CFR § 1.129(a))</td> </tr> <tr> <td>149</td> <td>740</td> <td>249</td> <td>370 For each additional invention to be examined (37 CFR § 1.129(b))</td> </tr> <tr> <td>179</td> <td>740</td> <td>279</td> <td>370 Request for Continued Examination (RCE)</td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>900 Request for expedited examination of a design application</td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> </tr> <tr> <td colspan="2">* Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3) (\$120.00)</td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee (\$)		105	130	205	65 Surcharge - 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SUBMITTED BY					
Name (Print/Type)	David L. Alberti	Registration No. (Attorney/Agent)	43,465	Telephone	650.833-2052
Signature	<i>David L. Alberti</i>		Date	12/12/2001	

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